

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Ellis Reinherz, Linda Clayton, Timothy D.  
Ocaín and Raymond J. Patch

Application No.: 08/948,124      Group Art Unit: 1816

Filed: October 9, 1997

For: THYMOCYTE CASPASE ACTIVITY AND NEGATIVE  
SELECTION

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>5/11/98</u>	<u>Marlene R. Fitch</u>
Date	Signature
<u>Marlene R. Fitch</u>	
Typed or printed name of person signing certificate	

TRANSMITTAL OF FILING FEE AND DECLARATION/POWER OF ATTORNEY  
IN RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

A one-month extension of time to respond to the Notice to File Missing Parts of Application dated February 13, 1998, is requested. A copy of the Notice is attached. In response to the Notice, enclosed are the following:

1. Petition for Extension of Time;
2. Two (2) executed Declaration/Power of Attorney documents; and

3. Check in the amount of \$867.00 which includes the fee for a one-month extension of time of \$55.00, the application filing fee in the amount of \$747.00, and the surcharge in the amount of \$65.00 for a small entity. A Verified Statement Claiming Small Entity Status is being filed concurrently.

A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Please charge Attorney's Deposit Account No. 08-0380 for any additional fees that may be due in this matter. A copy of this letter is enclosed for accounting purposes.

The undersigned Attorney notes that her name changed by marriage on December 31, 1997, and that this name change has been recognized by the Office of Enrollment and Discipline.

Respectfully submitted,

*Lisa M. Treannie*

---

Lisa M. (Warren) Treannie  
Attorney for Applicants  
Registration No. 41,368  
Telephone (781) 861-6240  
Facsimile (781) 861-9540

Lexington, Massachusetts 02173

Date: May 11, 1998



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/948,124	10/09/97	REINHERZ	E DFCI-522A

0262/0213

CAROLYN S. ELMORE  
HAMILTON BROOK SMITH AND REYNOLDS  
TWO MILITIA DRIVE  
LEXINGTON MA 02173

DOCKETED  
job

NOT ASSIGNED

1816

DATE MAILED:

02/13/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 1632.

☐ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ 22.00, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

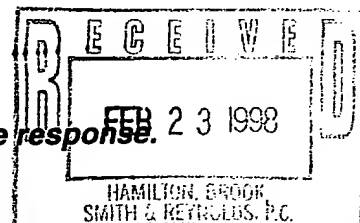
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202



FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/948,124	10/09/97	1816	\$0.00	DFCI-522A	5	52	2

CAROLYN S. ELMORE  
HAMILTON BROOK SMITH AND REYNOLDS  
TWO MILITIA DRIVE  
LEXINGTON MA 02173

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

ELLIS REINHERZ, LINCOLN, MA; LINDA CLAYTON, JAMICA PLAN,  
MA; TIMOTHY D. OCAN, FRAMINGHAM, MA; RAYMOND J. PATCH,  
FRAMINGHAM, MA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

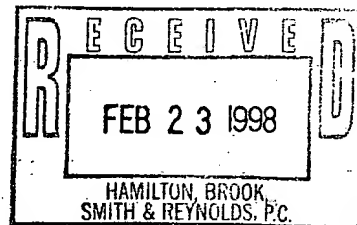
THIS APPLN IS A CON OF 08/802,474 02/18/97

FOREIGN FILING LICENSE GRANTED 02/12/98

TITLE

THYMOCYTE CASPASE ACTIVITY AND NEGATIVE SELECTION

PRELIMINARY CLASS: 435



**COPY**

AOC/CSE/LMT/mrf

DFCI-522A

05/11/98

This will acknowledge receipt of the following documents, each with a Certificate of Mailing:

VERIFIED STATEMENT of Dana-Farber Cancer Institute and VERIFIED STATEMENT of Procept, Inc., both CLAIMING SMALL ENTITY STATUS, w/ Transmittal letter w/ one copy; DECLARATION/POWER OF ATTORNEY (two originally signed copies; total: 8 pages), Transmittal letter w/ one copy, copy of PTO-1533 and check for \$867.00 and Petition for Extension of Time (one month) w/ one copy.

Applicants:

Ellis Reinherz, Linda Clayton, Timothy D. Ocain and  
Raymond J. Patch

Application No.:

08/948,124

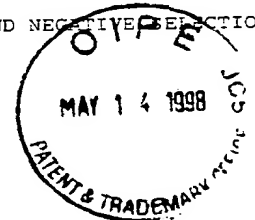
Filed:

October 9, 1997

For:

THYMOCYTE CASPASE ACTIVITY AND NEGATIVE SELECTION

Date Received by the Patent Office:



28875

5-7017-2110

EXPLANATION	AMOUNT
DFC1-532A	

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.  
2 MILITIA DRIVE  
LEXINGTON, MA 02173

Eight hundred sixty-seven and 10/100

CHECK  
AMOUNT

\$ 867.00

TO THE ORDER OF	DESCRIPTION	CHECK NUMBER	DOLLARS
-----------------	-------------	-----------------	---------

5-11-98  
The Commissioner of Patents and Trademarks  
08/98 12/98  
040515466 10 2021 8860  
Security Feature  
Check on back

*[Signature]*

SUMMER STREET OFFICE - 36  
CITIZENS BANK OF MASSACHUSETTS, BOSTON, MA

⑆028875⑆ ⑆211070175⑆ 110231757⑆ ⑆00000088700⑆

COPY

Initials: AOC/LMT/mrf

Docket No.: DFCI-522A

12/15/98

This is to acknowledge receipt of the following documents:

☒ Assignment Recordation Cover Sheet \_\_\_\_ w/copy  
☒ COPY OF ASSIGNMENT (1 docs)  
☒ Check for \$ 40.00  
\_\_\_\_ Authorization to Charge all Fees  
\_\_\_\_ Other \_\_\_\_\_

Applicants: Ellis Reinherz, Linda Clayton, Timothy D. Ocain and Raymond J. Patch

Application No.: 08/948,124

Filed: October 9, 1997

Title: THYMOCYTE CASPASE ACTIVITY AND NEGATIVE SELECTION

Date received by the PTO:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Ellis Reinherz, Linda Clayton, Timothy D.  
Ocaín and Raymond J. Patch

Application No.: 08/948,124 Group Art Unit: 1816

Filed: October 9, 1997

For: THYMOCYTE CASPASE ACTIVITY AND NEGATIVE  
SELECTION

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>May 11, 1998</u>	<u>Marlene R. Fitch</u>
Date	Signature
<u>Marlene R. Fitch</u>	
Typed or printed name of person signing certificate	

**COPY**

TRANSMITTAL OF VERIFIED STATEMENTS CLAIMING  
SMALL ENTITY STATUS

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please file the two enclosed Verified Statements Claiming Small Entity Status in the above-identified patent application.

A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

Lisa M. Treannie

Lisa M. Treannie  
Attorney for Applicants  
Registration No. 41,368  
Telephone (781) 861-6240  
Facsimile (781) 861-9540

Lexington, Massachusetts 02173

Date:

May 11, 1998